

Characterization of Reactive Intermediates by Multinuclear Diffusion-Ordered NMR Spectroscopy (DOSY)

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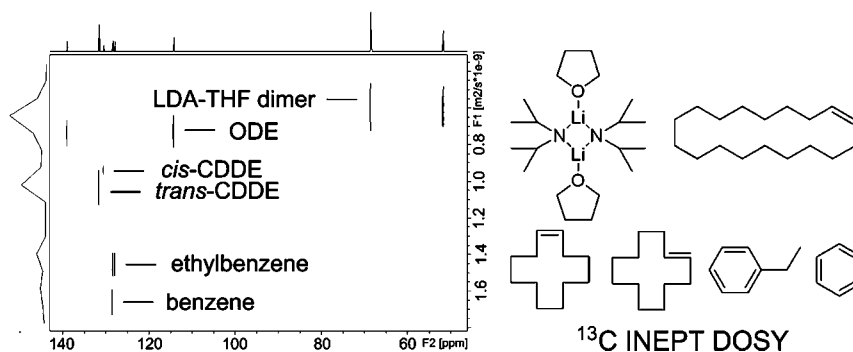
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CON SPECTUS

Nuclear magnetic resonance (NMR) is the most powerful and widely utilized technique for determining molecular structure. Although traditional NMR data analysis involves the correlation of chemical shift, coupling constant, and NOE interactions to specific structural features, a largely over-

looked method introduced more than 40 years ago, pulsed gradient spin-echo (PGSE), measures diffusion coefficients of molecules in solution, thus providing their relative particle sizes. In the early 1990s, the PGSE sequence was incorporated into a two-dimensional experiment, dubbed diffusion-ordered NMR spectroscopy (DOSY), in which one dimension represents chemical shift data while the second dimension resolves species by their diffusion properties. This combination provides a powerful tool for identifying individual species in a multicomponent solution, earning the nickname “chromatography by NMR”. In this Account, we describe our efforts to utilize DOSY techniques to characterize organometallic reactive intermediates in solution in order to correlate structural data to solid-state crystal structures determined by X-ray diffraction and to discover the role of aggregate formation and solvation states in reaction mechanisms.

In 2000, we reported our initial efforts to employ DOSY techniques in the characterization of reactive intermediates such as organolithium aggregates. Since then, we have explored DOSY experiments with various nuclei beyond ^1H , including ^6Li , ^7Li , ^{11}B , ^{13}C , and ^{29}Si . Additionally, we proposed a diffusion coefficient–formula weight relationship to determine formula weight, aggregation number, and solvation state of reactive intermediates. We also introduced an internal reference system to correlate the diffusion properties of unknown reactive intermediates with known inert molecular standards, such as aromatic compounds, terminal olefins, cycloolefins, and tetraalkylsilanes. Furthermore, we utilized DOSY to interpret the role of aggregation number and solvation state of organometallic intermediates in the reactivity, kinetics, and mechanism of organic reactions. By utilizing multinuclear DOSY methodologies at various temperatures, we also correlated solid-state X-ray structures with those in solution and discovered new reactive complexes, including a monomeric boron enolate, a product-inhibition aggregate, and a series of intermediates in the vinyl lithiation of allyl amines. As highlighted by our efforts, DOSY techniques provide practical and feasible NMR procedures and hold the promise of even more powerful insights when extended to three-dimensional experiments.

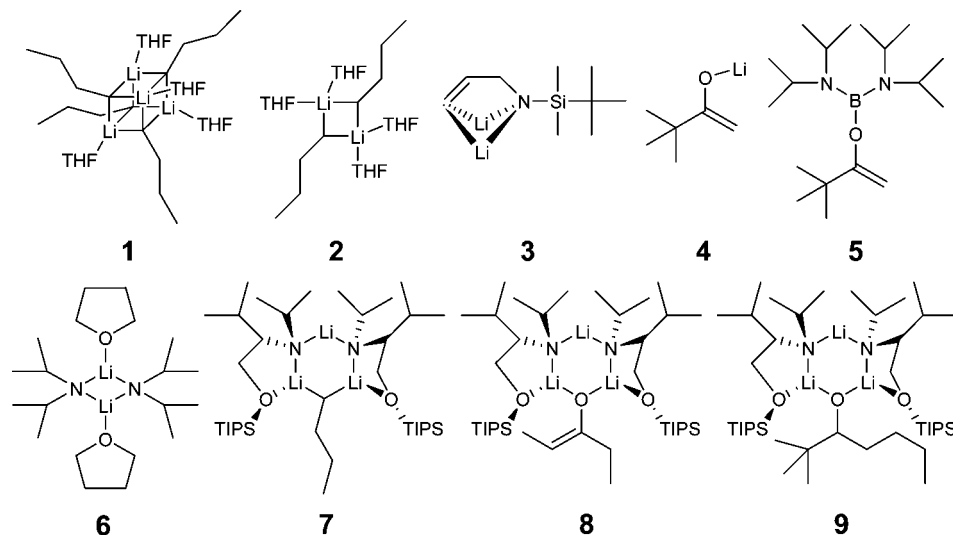


Introduction

Pulsed gradient spin-echo (PGSE) diffusion NMR spectroscopy was conceived to measure diffusion

coefficients and deduce the hydrodynamic radii of molecules in solution in the mid-1960s by Stejskal and Tanner.¹ This occurred well before the

SCHEME 1. Reactive Intermediates Investigated in our Laboratory



necessary modern, sophisticated NMR instrumentation became routinely available to take advantage of the technique. In 1992, C. S. Johnson included the PGSE sequence in a two-dimensional NMR experiment in which one dimension represents the regular chemical shift information and the second dimension separates species by particle size.² This 2D experiment is now referred to as diffusion-ordered NMR spectroscopy (DOSY). After discovering the benefits of DOSY, it is easy to appreciate why one polymer chemist has called this technique “chromatography by NMR” since NMR spectra of individual components of a complex mixture are easily resolved based upon their diffusion properties.³ Recent excellent review articles surveyed the current state of the art from the perspective of an NMR specialist.⁴

In 2000, our group began to use DOSY to test the possibility of differentiating reactive intermediates such as dimeric and tetrameric *n*-BuLi aggregates based on their mobility.⁵ Since then, we have published seven papers and eight presentations concerning reactive intermediates in which we rely heavily, if not exclusively, upon the DOSY technique to (a) deconvolute the individual ¹H NMR spectra of organolithium aggregates in dynamic equilibrium with each other in solution, (b) demonstrate the two-dimensional DOSY technique with variety of nuclei besides ¹H including ⁶Li, ⁷Li, ¹¹B, ¹³C, and ²⁹Si, (c) correlate solution structures of aggregated and solvated organometallic compounds with solid-state crystal structures, (d) determine aggregation number of dissolved organometallic intermediates, (e) determine the solvation state of organolithium aggregates in solution, (f) identify new organometallic aggregates in solution, (g) develop a set of internal references for our DOSY experiments that allow us to define a linear correlation to obtain molecular weights by

NMR, (h) extract 1D NMR slices from DOSY spectra, which provide chemical shift information of every component in solution, and (i) separate the individual NMR spectra of stereoisomers, such as a commercial mixture of *cis*- and *trans*-cycloolefins (cyclododecenes) in solution, thereby pushing the limits of the concept of chromatography by NMR.

In our laboratory, DOSY techniques have been utilized to correlate solid-state crystal structures determined by X-ray diffraction with solution structures and also to discover new aggregates participating in reaction mechanisms. Examples of these organometallic intermediates are depicted in Scheme 1 as follows: the tetramer (**1**) and dimer (**2**) of *n*-BuLi–THF complex,⁵ the solvation and aggregation of vinylic lithiation intermediates (**3**),⁶ aggregates containing lithium pinacolone enolate (**4**),⁷ a monomeric boron enolate (**5**),^{8,9} THF-solvated LDA dimeric complex (**6**),^{10,11} a mixed trimer (**7**) including *n*-BuLi and a chiral lithium amide,^{12,13} a chiral lithium enolate trimer (**8**),¹⁴ a complex consisting of lithium alkoxide and chiral lithium amide (**9**),^{15–17} and alkali hexamethyldisilazide aggregates.^{18,19}

Hence, we would like to present an account of progress and development of DOSY experiments in our laboratory focusing upon the characterization of highly reactive, transient organometallic intermediates from the point of view of a preparative chemist. This manuscript is organized into two sections: the first part outlines multinuclear DOSY methods and diffusion coefficient–formula weight correlations; the second part focuses on the application of these methods in characterizing reactive organometallic intermediates in solution.

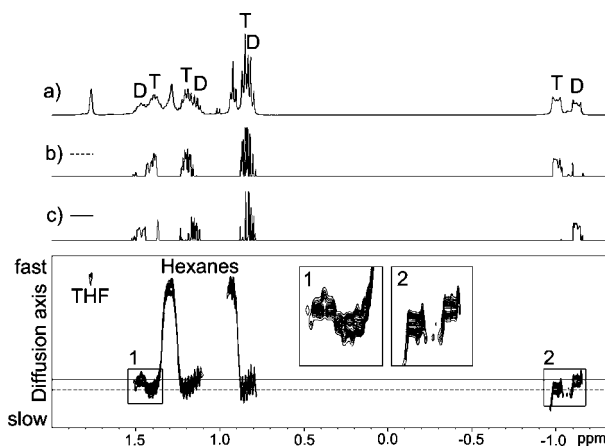


FIGURE 1. ^1H DOSY spectrum of $n\text{-BuLi}$ in $\text{THF-}d_8$ at $-84\text{ }^\circ\text{C}$: (a) ^1H spectrum at 187 K, in which the labeled butyl resonances of dimeric (D) and tetrameric (T) $n\text{-BuLi}$ were assigned by 2D-TOSY; (b) 1D slice of the DOSY spectrum at the diffusion coefficient of the tetramer (---); (c) 1D slice of the DOSY spectrum at the diffusion coefficient of the dimer (—).

Part I. Multinuclear DOSY Techniques

^1H DOSY and 2D Separations. ^1H -detected DOSY is the major diffusion NMR technique among all NMR-sensitive nuclei. It has emerged as a promising technique in polymer physics, combinatorial chemistry, and enzymatic dynamic studies.⁴ We carry out ^1H DOSY extensively due to the sensitivity and convenience of ^1H NMR.

To establish the usefulness of the DOSY technique in identification of organometallic intermediates, our initial studies focused on $n\text{-BuLi}$ in THF solution since $n\text{-BuLi}$ is easily accessible and its solution structure is well characterized. $n\text{-BuLi}$ was shown to exist in equilibrium between tetrasolvated dimeric and tetrasolvated tetrameric aggregates in THF solutions by NMR spectroscopy and cryoscopy.⁵ The solid-state structures of the tetrameric aggregate and a TMEDA-solvated dimer have also been determined by X-ray crystallography.⁵

Our diffusion-ordered NMR experiments were initially performed with ^1H and ^7Li detection. ^1H DOSY experimental data sets were processed and presented in a 2D DOSY form² (Figure 1). In the ^1H DOSY spectrum, the resolved α - and partially resolved β - and γ - CH_2 groups in the dimer are centered at a higher diffusion coefficient value than the corresponding peaks in the tetrameric aggregate. The NMR spectra of the individual dimer and tetramer aggregates are very well separated from the solutes, hexanes and THF. Relative diffusion coefficients were determined by fitting the peak areas to the Stejskal–Tanner equation²⁰ (Figure 2). Diffusion coefficients determined from ^7Li -detected experiments were in good agreement with ^1H DOSY results.⁵ This was our first success-

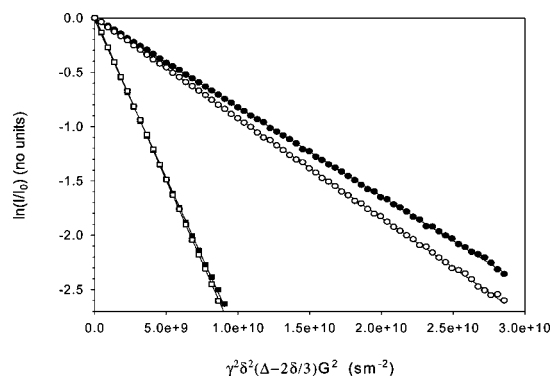


FIGURE 2. ^1H pulsed field gradient double stimulated echo (PFG-DSTE) NMR of $n\text{-BuLi}$ in $\text{THF-}d_8$ at $-84\text{ }^\circ\text{C}$. A typical Stejskal–Tanner plot of experimental peak areas: (■) $\text{THF-}d_7$ ($\alpha\text{-CDH}$); (□) $\text{THF-}d_7$ ($\beta\text{-CDH}$); (●) tetramer (butyl $\alpha\text{-CH}_2$ -1.00 ppm); and (○) dimer (butyl $\alpha\text{-CH}_2$ -1.12 ppm). The solid lines represent linear least-squares fits to the data.

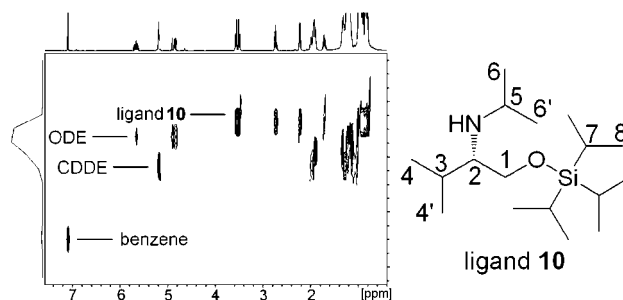


FIGURE 3. ^1H DOSY spectrum of ligand **10**, ODE, CDDE, and benzene in $\text{toluene-}d_8$. X-axis represents the regular ^1H chemical shift, and y-axis represents the relative diffusion rate.

ful application of DOSY to distinguish relatively small reactive organolithium aggregates in solution.

Currently, we can routinely characterize a solution consisting of several components with different sizes by a single DOSY experiment. An example is shown in Figure 3. The ^1H DOSY spectrum of an amino ether ligand **10**, 1-octadecene (ODE), cyclododecene (CDDE), and benzene in $\text{toluene-}d_8$ solution separates into four components in the diffusion dimension. In increasing order of diffusion coefficient (decreasing formula weight), these are the ligand **10** ($\text{C}_{17}\text{H}_{39}\text{NOSi}$, MW 301.6), ODE ($\text{C}_{18}\text{H}_{36}$, MW 252.3), CDDE ($\text{C}_{12}\text{H}_{22}$, MW 166.3), and benzene (C_6H_6 , MW 78.1). The ^1H signals of the protons 1, 1', 2, 3, and 5 in ligand **10** have identical diffusion coefficients. The ^1H chemical shifts of ODE, CDDE, and benzene are also well separated in the diffusion dimension.

^{13}C Insensitive Nuclei Enhanced by Polarization Transfer (INEPT) DOSY Technique. Reports of ^1H -DOSY experiments continue to increase;⁴ however, very few ^{13}C INEPT DOSY spectra have been reported although they provide better resolution, a wider chemical shift range than proton spectra, and absence of homonuclear coupling.^{4e}

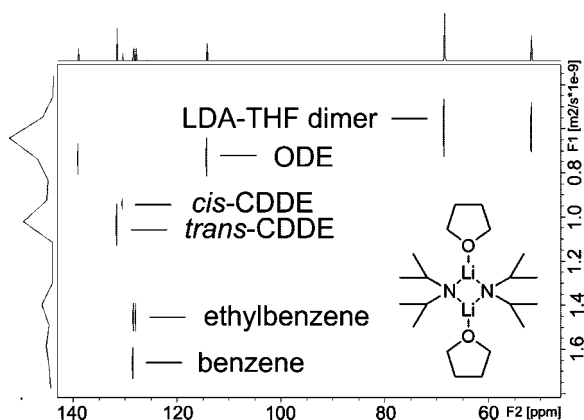


FIGURE 4. ^{13}C INEPT DOSY of THF-solvated LDA dimeric aggregate **6** in toluene- d_8 with internal references at 25 °C. The X-axis is the regular ^{13}C INEPT dimension and the Y-axis is the diffusion dimension.

To establish the effectiveness of the ^{13}C INEPT DOSY technique, we performed initial studies utilizing commercially available THF-solvated lithium diisopropylamide (LDA) dimer **6**,¹⁰ the most prominent non-nucleophilic base used in organic synthesis and also an ideal candidate for investigating organolithium aggregation states.²¹ It exists as a single major form, THF disolvated dimer, in THF solution²¹ as depicted in Figure 4. In this study, we chose ODE, CDDE, and benzene as the internal references due to their chemical inertness and suitable NMR properties. A detailed discussion of internal reference system will be presented in the following section.

The ^{13}C INEPT DOSY spectrum of THF-solvated LDA dimer **6** with the three internal references indicated in toluene- d_8 solution resolves all six components in the diffusion dimension. These are clearly identifiable in the DOSY spectrum as labeled in Figure 4. In increasing order of diffusion coefficient (decreasing radii), these are the LDA–THF dimer ($\text{C}_{20}\text{H}_{44}\text{Li}_2\text{N}_2\text{O}_2$, MW 351.5), ODE ($\text{C}_{18}\text{H}_{36}$, MW 252.3), *cis*-CDDE ($\text{C}_{12}\text{H}_{22}$, MW 166.3), *trans*-CDDE ($\text{C}_{12}\text{H}_{22}$, MW 166.3), ethylbenzene (C_8H_{10} , MW 106.2), and benzene (C_6H_6 , MW 78.1). It is noteworthy that the diffusion dimension separation of *cis*- and *trans*-CDDE is seen as they have exactly the same formula weight and are observed to exhibit only a 3.17% difference in relative diffusion coefficient. The ^{13}C INEPT signals of the oxygen-attached carbons in THF ($\delta = 68.5$ ppm) and the LDA methine carbons ($\delta = 51.8$ ppm) have identical diffusion coefficients. This evidence corroborates the integrity of THF and LDA aggregate in solution and suggests that THF and LDA remain attached and detectable under the experimental conditions.

Diffusion Coefficient and Formula Weight Correlation.

Diffusion coefficients and molecular radii are correlated theoretically by the Stokes–Einstein equation^{4e} (eq 1: $D = kT/(6\pi\eta r)$).²² Comparison of numerous solid-state X-ray crystal structures carried out in our laboratory reveals that the densities of all organolithium aggregates are very similar, that is, ~ 1.0 g/cm³. Also we note that all the aggregates we have observed are relatively spherical.²³ Hence we can postulate that the volume of an aggregate is proportional to its formula weight. Thus there should be a linear correlation between the diffusion coefficient determined by DOSY and FW (eq 2: $D \approx \text{FW}^a$).²⁴ The equation is linearized by taking the logarithm of both sides (eq 3: $\log D = a \log \text{FW} + b$).

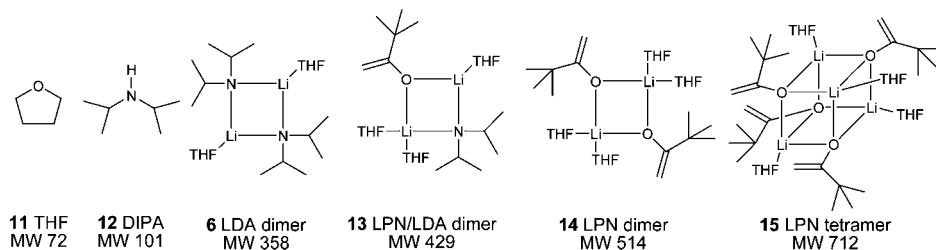
According to eq 3, there will be a linear correlation between measured diffusion coefficients and the formula weights of aggregates in solution. Hence, we were able to use the FW of known molecules in order to establish a calibration curve. Empirical FWs of unknown aggregates are interpolated from the curve thereby providing us with a rapid and convenient determination of these values.

To test this method, we applied it to a THF solution containing a mixture of LDA, lithium pinacolonate **4** (LPN), and diisopropylamine **12** (DIPA). LDA is known to form exclusively a disolvated cyclic dimer **6**, while LPN exists as an equilibrium mixture of a tetrasolvated dimer **14** and tetrasolvated cubic tetramer **15**. In addition to these aggregates, LDA and LPN were shown to form a mixed trisolvated dimer **13** containing one lithium amide and one enolate residue (Scheme 2).

DSTE-LED DOSY experiments were performed and decay curves were determined from peak intensities of all peaks. The decay curves were linearized (Figure 5), but the absolute diffusion coefficients were not calculated. Instead, the slopes of the decay curves were plotted as a function of FW, as defined in eq 2 (Figure 6, left) and eq 3 (Figure 6, right). Reasonably linear correlations were obtained for both plots. The positive results appeared to validate the method discussed above and indicate that the FW of unknown aggregates can be determined in this fashion. These results indicate that inclusion of solvent, known aggregates, or intentionally added molecules as internal FW references provides a promising method to deduce the aggregation number and solvation state of an unknown complex.

Internal References System. DOSY spectra often include artifacts generated by temperature fluctuation, convection, and viscosity change.²⁵ Hence the internal reference method²⁶ strives to eliminate the complications of these effects while taking advantage of the benefits of DOSY spectra. Conse-

SCHEME 2. LDA and LPN Aggregates in THF



quently, one can determine aggregation numbers and solvation states accordingly. This methodology extends and simplifies the current NMR techniques such as analysis of quadrupole coupling, multiplicity, and bimolecular exchange, HMPA titration, and Job plots that have been employed for determining aggregation states of reactive intermediates in solution.¹⁰

Due to their chemical and NMR properties, there are several requirements for internal references: (a) they should be inert to the components in solution; (b) the chemical shifts of these internal references should not overlap with other components; (c) the internal references should have no or little coordinating ability to the complexes in solution; (d) they should have good solubility in the NMR solvents; (e) they should possess desirable molecular weight distributions.

We chose four types of molecule (Scheme 3) as internal references: type one is aromatic compounds, like benzene **16** and perylene **17**; type two is cycloolefins, such as *cis*-CDDE **18** and *trans*-CDDE **19** and COE **20**; type three is terminal olefins, such as ODE **21** and TDE **22**; type four is tetraalkyl silane, such as TMS **23**. We utilize three internal reference combinations, such as ODE/CDDE/benzene or TDE/COE/benzene, in the DOSY investigations.

For example, the ¹³C INEPT DOSY results (Figure 4) of LDA–THF dimer **6** strongly suggest that the diffusion coefficients and formula weights of LDA–THF dimer **6**, ethylbenzene, and the three internal references, ODE, CDDE, and benzene, can be utilized to define a linear correlation between the relative log *D* and log FW. The correlation between log FW and log *D* of the linear least-squares fit to reference points of all components in this mixture is extremely high, $r^2 = 0.9971$. This remarkable result highlights the ability to use suitable internal references in DOSY experiments to interpolate relative diffusion coefficients and formula weights and, by inference, solvation and aggregation states of the bis-THF-solvated LDA dimer **6**.

DOSY Extracted 1D Spectrum Slices. An added bonus of 2D DOSY spectra is to extract a one-dimensional spectrum slice at the diffusion coefficient of a particular species. The extracted spectrum provides the chemical shift information of the corresponding species.

DOSY spectrum of ligand **10** in Figure 3 shows a good separation of four components in the diffusion dimension. Their DOSY 1D ¹H slice shows the complete chemical shift resolution among all components. To emphasize this point, we have depicted peaks of ligand **10** ($\delta = 3.66, 3.60, 2.84, 2.35,$ and 1.85 ppm) in the slice of ligand **10** (Figure 7b) to be compared with the spectrum of the pure, authentic sample (Figure 7b'). ¹H NMR slices taken at the diffusion coefficients of ligand **10** and the internal references agree very well with their respective ¹H NMR spectra. Hence, the ¹H spectra extracted from the single 2D ¹H DOSY experiment resolved chemical shift information of every component in the mixture.

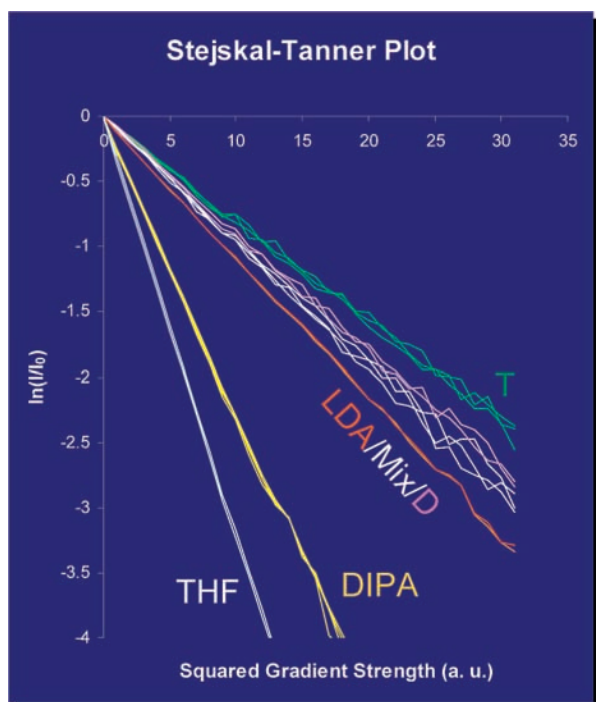


FIGURE 5. ¹H PFG-DSTE DOSY NMR of LDA/LPN/DIPA in THF-*d*₈ at -84 °C. Stejskal–Tanner plot of experimental peaks. Multiple peak areas are shown for each aggregate. (LDA = LDA dimer **6**; Mix = LPN/LDA mixed dimer **13**; D = LPN dimer **14**; T = LPN tetramer **15**.)

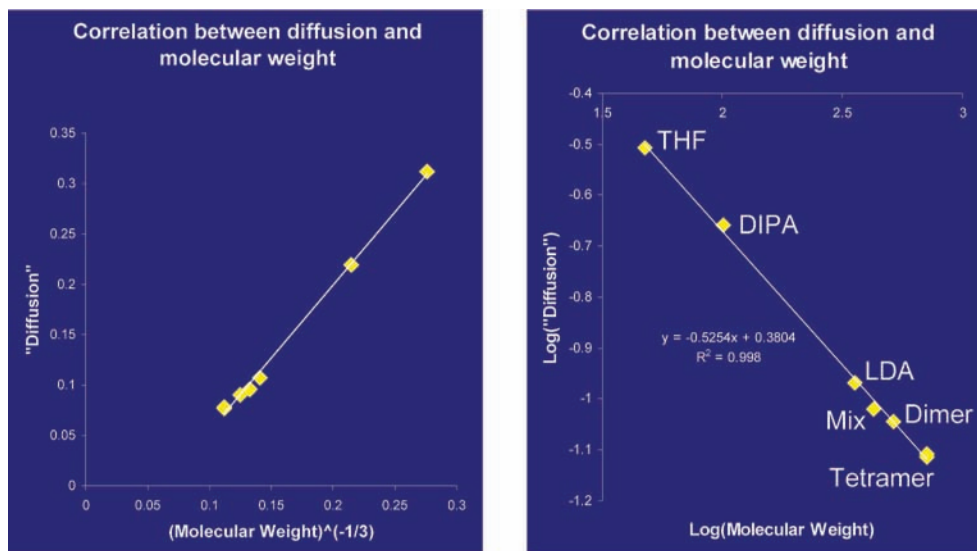
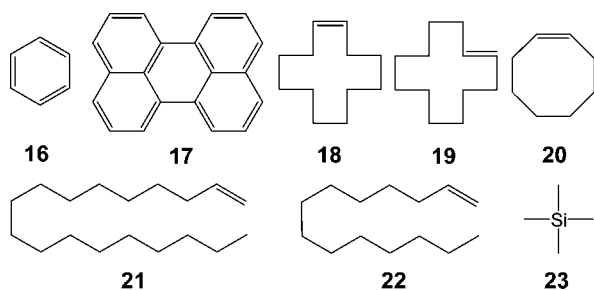


FIGURE 6. ^1H PFG-DSTE DOSY NMR of LDA/LPN/DIPA in THF- d_6 at -84°C . Plot of mobility as a function of FW^a ($a = -1/3$; left) and log–log plot of mobility as a function of FW (right). (LDA = LDA dimer **6**; Mix = LPN/LDA mixed dimer **13**; Dimer = LPN dimer **14**; Tetramer = LPN tetramer **15**.)

SCHEME 3. Four Types of Internal References



Because ^{13}C INEPT DOSY provides a better resolution than ^1H DOSY in the chemical shift dimension, its 1D DOSY slice is a mimic of a regular ^{13}C INEPT NMR spectrum, and it also permits an unambiguous assignment of ^{13}C signals for every component in a mixture. ^{13}C INEPT DOSY of LDA–THF dimeric aggregate **6** is an ideal example to highlight this point. We depict peaks of LDA ($\delta = 68.5$ ppm) and THF ($\delta = 51.8$ ppm) in the slice of LDA–THF aggregate (Figure 8b) to be compared with the spectrum of the pure, authentic sample (Figure 8b'). Slices taken at other diffusion coefficients of the components agree extremely well with their respective ^{13}C INEPT spectra. Hence, the extracted INEPT spectra determined from the single 2D INEPT DOSY experiment completely resolved chemical shift information of every component in the mixture.

The DOSY slices in Figure 9 also illustrate the complete chemical shift resolution of nearly identical signals of benzene ($\delta = 128.38$ ppm) and ethylbenzene ($\delta = 128.41$ ppm). We also call attention to the fact that although the difference of relative diffusion coefficient between *cis*- and *trans*-CDDE is

observed to be only 3.17%, spectra slices of the pure *trans*- and nearly pure *cis*-CDDE isomers can be resolved as depicted in Figure 10.

Part II. Identification of Reactive Intermediates

Confirmation of Solid-State Structures. While X-ray crystallographic methods are most widely used for solid-state structure determination, questions remain concerning the relationship between solution-state structures of reactive intermediates and those in solid state. A relevant question concerning the structural integrity of an organometallic complex in these two very different phases is whether the structure determined in the solid state represents the only, the major, or one among several species in the solution. One must also address whether and how easily any stereostructure changes occur in solution relative to solid state. Therefore, solution structure determination represents a critical complement to X-ray structure determination of organometallic aggregates. We utilize a new NMR strategy combining multinuclear DOSY and other 1D/2D NMR techniques to characterize organometallic complexes in solution.

Example 1: Monomeric Boron Enolate of *tert*-Butyl Methyl Ketone (5**).** A boron enolate **5** was investigated by X-ray crystallography and a ^1H DOSY experiment (Figure 11).⁸ On the basis of diffusion coefficients from DOSY, the relative ratio of the hydrodynamic radii of enolate **5** and the internal reference perylene **17** is 1.186. For comparison, the geometry of these compounds was optimized by DFT calculations, and the theoretical ratio of the hydrodynamic radii was deter-

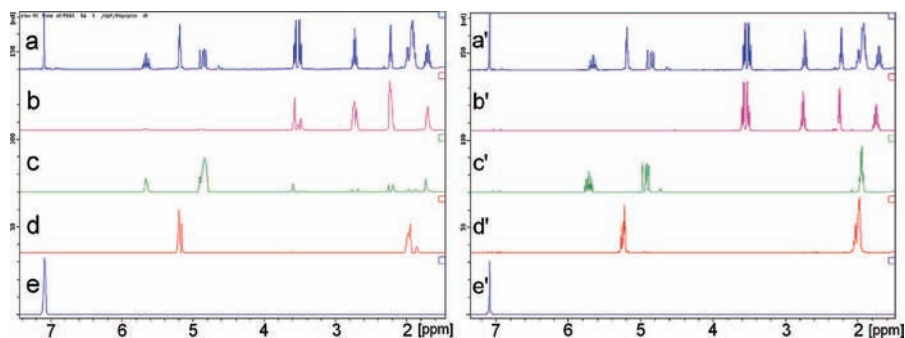


FIGURE 7. Comparison between slices of ^1H DOSY spectra (left) with ^1H NMR spectra (right) of authentic samples. From top to bottom, slice or spectrum of ligand **10** with internal references (a/a'), ligand **10** without internal references (b/b'), ODE **21** (c/c'), CDDE **18** and **19** (d/d'), and benzene **16** (e/e').

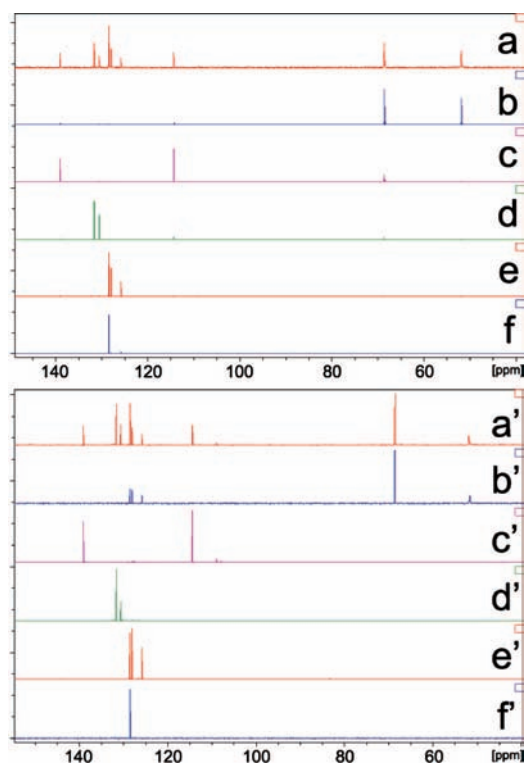


FIGURE 8. Comparison between slices of ^{13}C INEPT DOSY spectra (a–f) with ^{13}C INEPT NMR spectra of authentic samples (a'–f'): (a, a') LDA dimer with internal references; (b, b') LDA dimer without internal references; (c, c') ODE; (d, d') *cis*- and *trans*-CDDE mixture; (e, e') ethylbenzene; (f, f') benzene.

mined to be 1.155, which agrees well with the experimentally derived value. The DOSY results unambiguously indicate that enolate **5** exists exclusively as a monomer in benzene solution.

Discovery of New Reactive Intermediates. As implied by the name “reactive intermediate”, it is often difficult to isolate and identify such species by conventional means such as X-ray diffraction. NMR and other spectroscopic techniques are better suited for characterizing sensitive intermediates in reaction pathways. DOSY methods provide a unique perspective for observing the size, shape, and formula weight of these

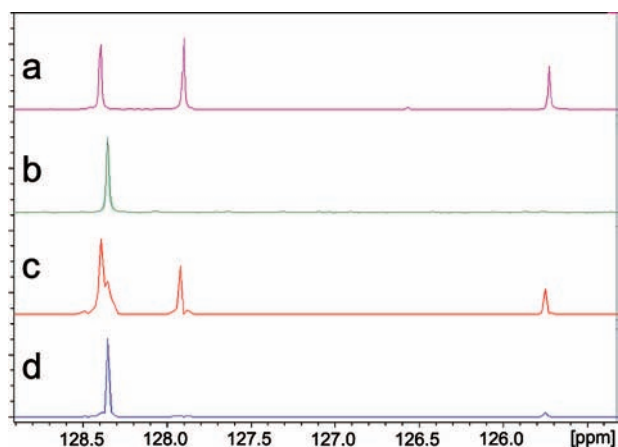


FIGURE 9. Comparison of the ^{13}C INEPT spectra of ethylbenzene and benzene to the ^{13}C INEPT DOSY slices of ethylbenzene and benzene: (a) ^{13}C INEPT of ethylbenzene; (b) ^{13}C INEPT of benzene; (c) DOSY slice of ethylbenzene; (d) DOSY slice of benzene.

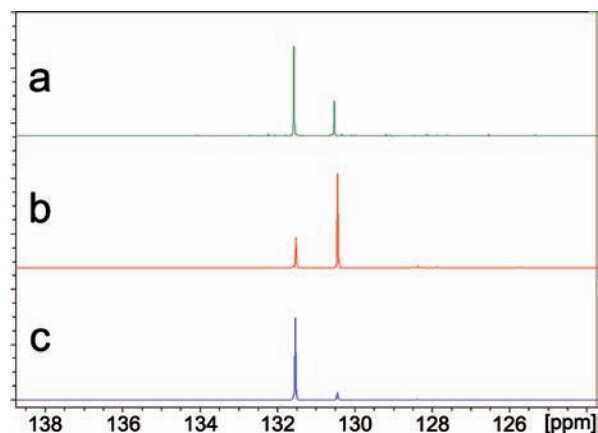


FIGURE 10. Comparison of the ^{13}C INEPT spectrum of *cis*- and *trans*-CDDE mixture to the ^{13}C INEPT DOSY slices of *cis*-CDDE and *trans*-CDDE: (a) ^{13}C INEPT of *cis*- and *trans*-CDDE mixture; (b) DOSY slice of *cis*-CDDE; (c) DOSY slice of *trans*-CDDE.

intermediates. This information is valuable for understanding the aggregation number and solvation state of those transitional complexes. The use of DOSY and other NMR techniques has enabled us to identify several reactive aggregates that play important roles in reaction pathways.

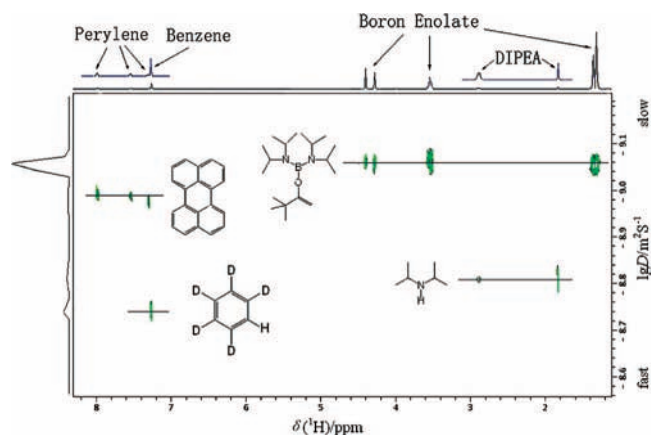


FIGURE 11. ^1H DOSY NMR (C_6D_6 , 400 MHz) of boron enolate **5**, perylene **17**, DIPA **12**, and benzene- d_6 .

Example 2: Intermediates of Vinylic Lithiation of Allylamine Derivatives.

Treatment of allyl alcohol and allylamine derivatives with strong base effects reactions in which synthetically useful allylanions are formed.⁶ An alternative to allylic deprotonation is observed with secondary allylamines. These compounds can undergo vinylic deprotonation at the 3-position (Scheme 4). This reaction is observed exclusively upon reaction of *N*-monoalkyl and *N*-silyl allylamine with alkyl lithium reagents. NMR work strongly indicated that the dianion derived from allylamine **24**, 3,*N*-dilithio-*N*-(*tert*-butyldimethylsilyl)allylamine (**3**), retains the “c-clamp” like structure observed in the solid state (Scheme 4).²⁷ A total of five aggregates of **3** could be assigned, three homoaggregates **26**–**28**, an *n*-BuLi containing mixed aggregate **29**, and a mixed aggregate **30** containing one molecule each of **3**, *n*-BuLi, and *n*-BuOLi (Scheme 5). Information about aggregation numbers was obtained from ^1H DOSY experiments.

Our approach for solutions containing mixtures of aggregates is to estimate the formula weight (FW) of each aggregate by correlating their experimentally determined mobility and expected MW. Success of this method requires additional information upon the structure of at least some of the known aggregates so that educated guesses can be made regarding possible MW values. We use the solvent and aggregates of known composition as internal reference, whenever possible. In this case, we used the FW of the *n*-BuLi dimer **2** (Scheme 1) in conjunction with residual THF- d_7 as our starting point for correlating experimental mobilities and MWs. The MWs were then adjusted by varying the number of lithium amide residues in the homoaggregates and the composition and number of coordinated THF ligands in all aggregates, until the best possible correlation was obtained (Figure 12; Table 1). All proposed structures were confirmed to be minima on the potential energy surface by PM3 semiempirical calculations.⁶ The

best fit for the higher order aggregate **28** actually corresponded to a THF-monosolvated trimer. That structure was rejected because ^1H NMR spectrum of this complex has only a single set of resonances of intermediate **3** and lacks THF signal. Deviation from the calibration curve is attributed to the cylindrical shape of the tetrameric aggregate.

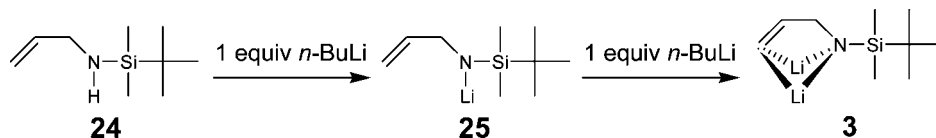
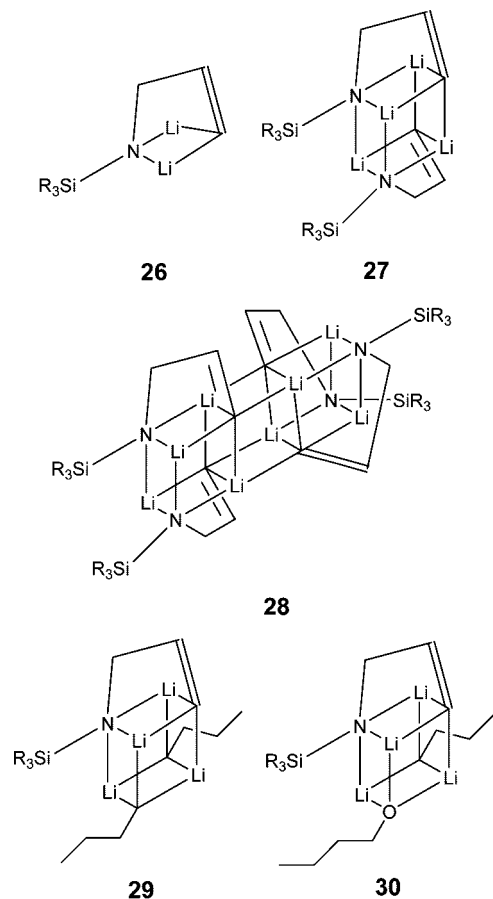
Example 3: Chiral Lithium Alkoxide Complex (9). ^1H and ^{13}C INEPT DOSY were utilized to characterize a new trimeric complex **9** (Scheme 1) between 2 equiv of lithium amide and 1 equiv of lithium alkoxide. Observation of this new mixed aggregate strongly indicates the possibility of product-induced chirality inhibition that is detrimental to the enantioselectivity of the asymmetric addition reaction. This conclusion was based upon the reaction pathways in Scheme 6 in which the formation of complex **9** destroys the integrity of the chiral aggregate **7**, which is responsible for the asymmetric butylation of aldehydes.

We chose ODE **21** as the internal reference for our DOSY experiments. The ^1H DOSY spectrum of mixed trimeric complex **9** with ODE in toluene- d_8 solution separates into two components in the diffusion dimension. These are clearly identifiable in the DOSY spectrum reproduced in Figure 13. In increasing order of diffusion coefficient (decreasing formula weight), these are the complex **9** ($\text{C}_{43}\text{H}_{95}\text{Li}_3\text{N}_2\text{O}_3\text{Si}_2$, FW 765.2) and ODE ($\text{C}_{18}\text{H}_{36}$, MW 252.3). The ^1H signals of the protons 1, 1', 2, 3, and 5 on ligand and proton 9 on alkoxide in complex **9** (Figure 13) have identical diffusion coefficients. The similar diffusion behavior confirms that the lithium alkoxide and the lithium amide are in the same complex. As we have previously noted, ^1H DOSY results allow us to correlate the diffusion coefficients and formula weights of complex **9**, ODE, and toluene- d_8 . The correlation between \log FW and $\log D$ of the linear least-squares fit to reference points of all components in this mixture is extremely high, $r^2 = 0.9961$.¹⁵ This result unambiguously establishes complex **9** as a mixed trimeric aggregate consisting of 2 equiv of lithium amide and 1 equiv of lithium alkoxide. The ^{13}C INEPT DOSY results show similar results (Figure 14).

Limitations and Future Directions. ^1H DOSY analysis is often hindered by the small chemical shift scale and homonuclear coupling resulting in a spectrum with overlapping signals whose diffusion coefficients cannot be accurately extracted. Efforts to overcome signal overlap include use of ^1H -homodecoupled or “pure shift” DOSY experiments²⁸ or performing heteronuclear DOSY on a nucleus, such as ^{13}C , with a wider chemical shift scale.^{4e}

Heteronuclear-detected DOSY, such as ^{13}C DOSY, provides better resolution than proton spectra due to its wider chemi-

SCHEME 4


 SCHEME 5. Aggregates of Intermediate **3**^a


^a SiR₃ = TBDMS.

cal shift range. ¹⁹F diffusion NMR analysis²⁹ and ³¹P-detected DOSY³⁰ employ pulse sequences similar to ¹H DOSY because of their similar high natural abundance and gyromagnetic ratio. ¹³C INEPT-DOSY and ²⁹Si DOSY³¹ pulse sequences have been developed for studying multispecies solutions. However, no ⁶Li-detected and very few ⁷Li DOSY^{4d} investigations of organolithium were published prior to our studies.

Another promising area of DOSY analysis is the three-dimensional DOSY method. For nuclei of low natural abundance, coherence transfer from proton to heteronuclear atoms and then back to proton for detection can significantly improve their sensitivity. In 3D DOSY^{4e–g} experiments, a coordinate of diffusion is added to the conventional 2D NMR experiments. The aim of these techniques is to obtain additional dispersion of NMR peaks on a third axis on the basis of

their diffusion coefficients. These experiments can reduce overlapping NMR signals in the traditional 1D and 2D NMR thus making them particularly useful for identifying various species in a multicomponent solution. The first 3D DOSY experiment reported was a nuclear Overhauser effect spectroscopy (NOESY)–DOSY sequence,³² in which the overlap-

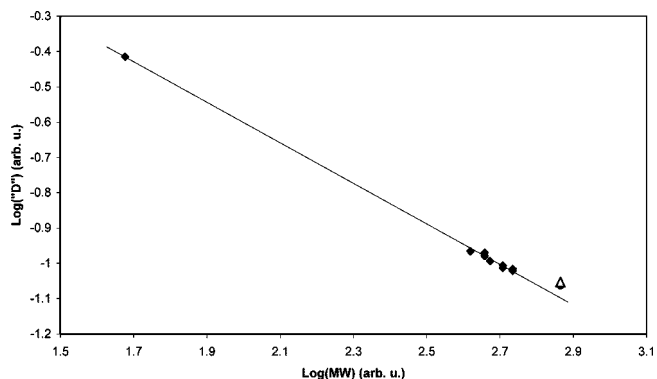
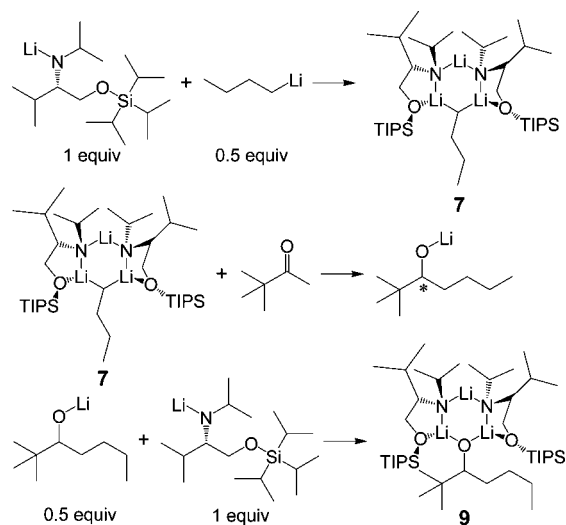


FIGURE 12. DOSY of the major aggregates (**26**–**30**) at -80 °C. The solid line is linear least-squares fit to the data points represented by diamonds. The triangle represents the tetramer **28** and was not included in the fit.

TABLE 1. DOSY of the Aggregates in THF-*d*₈ at -80 °C

	26	27	28	29	30	2
3	1	2	4	1	1	0
<i>n</i> -BuLi	0	0	0	2	1	2
<i>n</i> -BuOLi	0	0	0	0	1	0
THF	5	2	0	2	2	4
FW	543	510	732	455	471	416

SCHEME 6



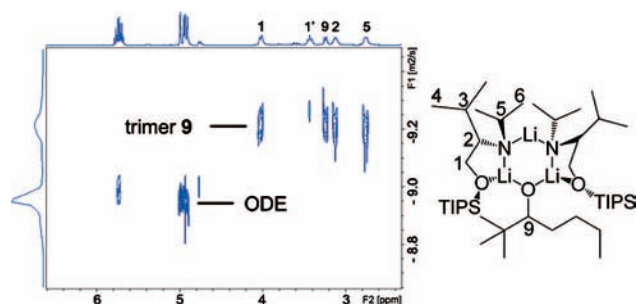


FIGURE 13. ^1H DOSY of trimeric complex **9** and ODE in toluene- d_8 at 25 °C.

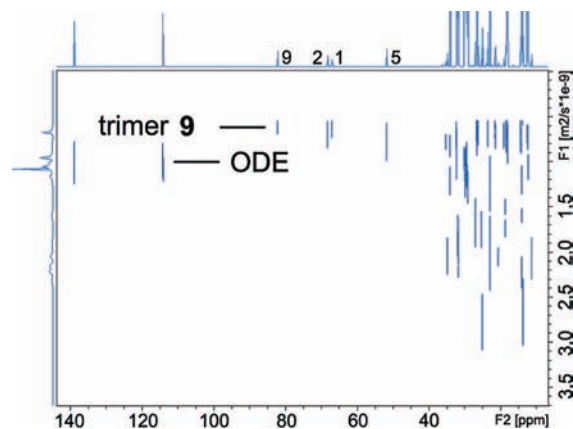


FIGURE 14. ^{13}C INEPT DOSY of complex **9** and ODE in toluene- d_8 at 25 °C.

ping peaks of a DNA duplex and a dinucleotide were separated. Since then, other 3D DOSY sequences, such as DOSY–total correlation spectroscopy (TOCSY),³³ DOSY–heteronuclear multiple-quantum correlation (HMQC),^{31,34} correlation spectroscopy (COSY)–IDOSY³⁵ and 2DJ-IDOSY,³⁶ have been developed. We plan to extend DOSY studies in our laboratory³⁷ to additional heteronuclear and 3D detections and to combine them into 3D heteronuclear DOSY techniques.

Conclusions

In the preceding sections, we presented our results on the characterization of reactive intermediates, especially the aggregation number and solvation state of the complexes. To obtain useful information of the aggregates, we rely greatly on the multinuclear DOSY NMR techniques, especially the ^1H and ^{13}C DOSY methods. In the first part, we proved an effective DOSY-related NMR strategy for identifying individual species in a multicomponent mixture solution. This strategy includes the particle size-dependent separation on the diffusion dimension in the DOSY spectrum, diffusion coefficient and formula weight correlation, an efficient internal reference system, and the 1D spectrum slice from 2D DOSY spectra. In the second part, we applied the above strategy at various temperatures to investigate the solution-state structure of a range of organo-

metallic intermediates. Multinuclear DOSY techniques were used not only to confirm solid-state X-ray crystal structures but also to discover new complexes that confer significant effect on the reactivity, kinetics, and mechanism of organic reactions. We also discussed the limitations of the traditional DOSY methods and the feasible solution for these problems: heteronuclear and 3D DOSY techniques. These DOSY methods and applications should be beneficial for chemists to explore other reactive intermediates in solution.

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BIOGRAPHICAL INFORMATION

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Ivan Kereztes received his B.A. from Bard College (1996) and Ph.D. from Brown University (2002). In 1997, he joined the Williard group and pursued research in developing new NMR methodologies for the solution state characterization of organolithium aggregates. He did postdoctoral work at the University of Pennsylvania with George Furst. He is currently the Director of the NMR facility in the Department of Chemistry and Chemical Biology of Cornell University.

Russell Hopson obtained his B.S. from George Mason University in 1994, and his Ph.D. from Northeastern University in 2000. He worked in industry for the Gillette Co. throughout his entire graduate career and for two years at the Clariant Corporation after receiving his doctorate. He has been the NMR facility supervisor in the Chemistry Department of Brown University for the last 4 years where he maintains the NMR spectrometers, conducts training, and routinely collaborates with faculty and students in various fields of research.

Paul G. Williard obtained a combined B.S./M.S. degree from Bucknell University in 1972 working with Harold W. Heine and a Ph.D. degree from Columbia University in 1976 under the supervision of Gilbert Stork where he developed a strong interest in enolates and enolate reactions. He pursued postdoctoral work with George Büchi at MIT and began his independent career at Brown University in 1979. He served as department chair from 1999 to 2005 and currently is a Professor of Chemistry at Brown. His research program focuses on discovery and characterization of reactive anionic intermediates in the solid state and in solution.

FOOTNOTES

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- $D = (kT)/(6\pi\eta r)$, where D is the diffusion coefficient, k is the Boltzman constant, T is the temperature in Kelvin, η is the viscosity of the solution, and r is the radius of the molecular sphere.
- From different DOSY experiments, we proved our spherical assumption is good for small molecule systems.
- For a monodispersed polymer system, it has been found that D is related to molar mass M of the polymer by $D = AM^a$ ($A = 10^{-7.62}$ and $a = -0.62$). See: Chen, A. Johnson, C. S. Determination of molecular-weight distributions for polymers by diffusion-ordered NMR. *J. Am. Chem. Soc.* **1995**, *117*, 7965–7970. We can linearize this equation by taking the logarithm of both sides and get the equation $\log D = a \log M + \log A$, or simplify it to $\log D = a \log \text{FW} + b$, where $b = \log A$ and FW is M .
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- These DOSY experiments are fairly fast and easy to be carried out. For example, it will usually take 10 min for ^1H DOSY and 45 min for ^{13}C INEPT DOSY to get a satisfactory spectrum for a 0.1 M solution.